

Expert Opinion

1. Introduction
2. Molecular imaging agents coupled with drug delivery potential
3. Conclusion
4. Expert opinion

Applications for site-directed molecular imaging agents coupled with drug delivery potential

Hui Wang & Xiaoyuan Chen[†]

Stanford University School of Medicine, The Molecular Imaging Program at Stanford (MIPS), Department of Radiology and Bio-X Program, 1201 Welch Rd, P093, Stanford, CA 94305-5484, USA

Molecular imaging allows non-invasive characterization and quantification of biological processes at cellular and molecular level. Such technologies make it possible to enhance our understanding of drug activity and pharmacokinetic properties, and therefore aid decisions to select candidates that are most likely to benefit from targeted drug therapy. Targeted DDSs are nanometer-sized carrier materials designed for improving the biodistribution of systemically applied (chemo-)therapeutics by strictly localizing its pharmacological activity to the site or organ of action. The parallel development of molecular imaging and targeted drug delivery offers great challenges and opportunities for a single multifunctional platform technology, combining targeted motif, therapeutic agents and imaging agents for imaging guided drug delivery. This review article summarizes the synthesis and characterization of various biomaterials that carry targeting motifs, imaging tags and therapeutic agents as theragnostics.

Keywords: molecular imaging, molecular therapy, nanoplateform, theragnostics

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1. Introduction

Molecular imaging attempts to characterize and quantify biological processes at the cellular and subcellular level in intact living objects. Such technologies therefore have great potential to enhance our understanding of disease and drug activity during drug development. The use of imaging end points instead of time-consuming dissection and histology can significantly decrease the workload involved in tissue analysis and thereby speed up the evaluation of the drug candidate. Imaging might provide information about biomarkers of a disease process and therefore help to aid decisions in patient stratification. In preclinical small animal studies, since imaging methods are non-invasive, they allow for longitudinal studies in a single animal, which increases the statistical relevance of the study. Imaging also provides important information on the optimal timing and dosing of drugs. Targeted nanometric contrast agents have been developed based on polymers, lipids and/or proteins that carry radionuclides, paramagnetic elements and/or fluorescent probes suitable for imaging.

Targeted drug delivery is defined in the broadest sense, that is, to optimize a drug's therapeutic index by strictly localizing its pharmacological activity to the site or organ of action. If successful, targeted drug delivery will reduce the drug toxicity, reduce the drug dose and increase the treatment efficacy. With the ability to realize local drug delivery, it is important to assure that the therapy is in the right region, that the drug concentration and the resulting physiological reaction are sufficient and to intervene if necessary. However, there are several challenges

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for the effective evaluation of these drugs in preclinical and clinical studies. These challenges include identifying the 'correct', biologically active concentration and dose schedule, selecting the patients likely to benefit from treatment, monitoring inhibition of the target protein or pathway, and assessing the therapy response. The parallel development of molecular imaging and targeted drug delivery may offer great potential for a single multifunctional system, containing both therapeutic and imaging components, for imaging-guided drug delivery. Such multifunctional carriers will ultimately allow for real-time imaging of drug location, drug local concentration, drug release kinetics combined with improved treatment efficacy through the use of drug delivery system (DDS). In addition, by choosing appropriate molecular imaging targeting ligands to associate with the DDS, imaging will also give information about the status of receptor expression level, and thereby may help to predict therapeutic efficacy. This system will lead to a more personalized approach in disease management, with each individual patient being closely monitored. The physicians can thus decide on the best suitable therapy based on the individual patient's status and response.

The aim of this review is to describe the development of imaging probes with potential for targeted drug delivery vehicles, the benefits that targeted DDS development may gain from incorporating an imaging component and the potential of formulating imaging and therapy within a single multifunctional carrier for disease management. The review will start by introducing imaging probes for radionuclide imaging, optical imaging, ultrasound imaging and MR imaging, then theragnostics that carry both imaging tags and therapeutics will be examined in detail. Finally, perspectives on the development of multifunctional carriers for both therapeutic and imaging purposes will be explored.

2. Molecular imaging agents coupled with drug delivery potential

The current molecular imaging techniques include radionuclide imaging, optical imaging, targeted ultrasound (US), molecular magnetic resonance imaging (mMRI) and magnetic resonance spectroscopy (MRS). Single-photon emission computed tomography (SPECT) and positron emission tomography (PET) are the most commonly used radionuclide imaging modalities, offering the potential to detect molecular and cellular changes of diseases, but they suffer from relatively poor spatial resolution with the currently available technology. Optical imaging is cost-effective and highly sensitive but with difficulty of quantification and high background signal due to tissue autofluorescence. Limited tissue penetration and scattering are two other problems optical imaging encounters. MRI/MRS and US are characterized by high spatial resolution but they are unable to detect diseases until tissue structural or functional changes are large enough to be detected. For each imaging modality, we will briefly introduce the basic principles

and give examples of targeted imaging probes that are loaded with therapeutics.

2.1 Radiolabeled drug delivery system

PET and SPECT are the most commonly used radionuclide imaging modalities [1]. SPECT depends on a radionuclide that emits gamma rays with energies between roughly 71 keV (^{210}Tl) and 300 keV (^{67}Ga) and on dedicated lead collimators. SPECT involves the acquisition of images from various positions using a gamma camera rotating around the subject of interest. The most popular SPECT radionuclides are ^{67}Ga , $^{99\text{m}}\text{Tc}$, ^{111}In and ^{123}I . SPECT provides excellent quantification in small animals when dedicated scanners are used. However, in clinics, quantification of SPECT data is much less accurate due to the uncertainty in attenuation correction. In addition, SPECT has limited temporal resolution, which can be partially solved by using radiolabeled agents with long biological and physical half-life [2,3]. PET is based on radionuclides that decay by emitting positrons, anti-particles to electrons. The emitted positron travels up to a few millimeters into the body and annihilates with an electron, which produces a pair of annihilation photons moving in opposite directions, both having an energy of 511 keV. The PET technique depends on the coincidental detection of the pair of photons 180° apart. Most currently used PET radionuclides have relatively short half-lives: ^{11}C (20 min), ^{13}N (10 min), ^{15}O (2 min), ^{18}F (110 min) and ^{68}Ga (68 min). Alternative PET radionuclides having longer half-lives such as ^{64}Cu (12.7 h), ^{76}Br (16.0 h), ^{86}Y (14.7 h), ^{89}Zr (78.4 h) and ^{124}I (4.2 h) are useful for labeling long-circulating molecules.

Radionuclide imaging can be used to assess the drug delivery vehicle's pharmacokinetic (PK) and pharmacodynamic (PD) properties. The DDS mainly works by altering the PK/PD properties of therapeutic agents. Therefore, it is critical to evaluate the PK/PD of free drugs and their deliverable formulations. Kleiter *et al.* [4] investigated Doxil® (Johnson & Johnson, Langhorne, PA) liposome (doxorubicin-containing thermosensitive liposomes) administrated concomitantly with $^{99\text{m}}\text{Tc}$ -labeled liposomes to estimate the effect of hyperthermia on intratumoral accumulation of doxorubicin in rat fibrosarcomas using SPECT. Hyperthermia increased intratumoral accumulation of $^{99\text{m}}\text{Tc}$ -labeled liposomes at 18 h post-treatment as compared with unheated controls. The tumor uptake of $^{99\text{m}}\text{Tc}$ -labeled liposomes showed significant positive correlation with intratumoral doxorubicin concentration ($r^2 = 0.92$) in both hyperthermic and unheated groups. Therefore, $^{99\text{m}}\text{Tc}$ -labeled liposome here served as a surrogate marker for Doxil delivery.

Radionuclide imaging can also be used to determine the number and density of a specific target, which is critical for successful targeted delivery of therapeutics. Some of the targeted drugs can be directly labeled with radioisotopes as theragnostics [5-7]. Integrin $\alpha_v\beta_3$ is known to be upregulated in proliferating endothelial cells and a variety of cancer cells, which is associated with tumor metastasis, angiogenesis and prognosis. The integrin $\alpha_v\beta_3$ expression level has been

imaged by using radiolabeled antibodies [8], peptides [9-13] and peptidomimetics [14-17]. Abegrin (Vitaxin, MedImmune, Gaithersburg, MD) is a humanized monoclonal antibody against human integrin $\alpha v \beta 3$ currently in Phase II clinical trials. *In vivo* imaging using Abegrin-based probes is needed for better treatment monitoring and dose optimization. PET imaging showed that, in integrin $\alpha v \beta 3$ overexpressing U87MG tumor model, tumor uptake of ^{64}Cu -DOTA-Abegrin was as high as 49.4 ± 4.5 %ID/g at 71 h post-injection. Furthermore, ^{64}Cu -DOTA-Abegrin uptake in tumors correlated very well with $\alpha v \beta 3$ expression levels (U87MG > MDA-MB-435 \approx PC3 > CL26) (Figure 1A) [8]. Consistent with the imaging results, ^{90}Y -DOTA-Abegrin significantly inhibited the growth of integrin $\alpha v \beta 3$ positive U87MG tumors, but showed little inhibitory effect on integrin $\alpha v \beta 3$ negative HT-29 tumors. RGD peptides have been utilized to deliver therapeutics [6,18,19] and radiolabeled for molecular imaging. For example, RGD-TNF fusion protein [6], when conjugated with DOTA and labeled with ^{64}Cu , showed similar binding affinity and cytotoxicity as compared with RGD-TNF protein. Similar to ^{64}Cu -DOTA-Abegrin, the uptake of ^{64}Cu -DOTA-RGD-TNF in tumors correlated very well with $\alpha v \beta 3$ expression levels (U87MG > MDA-MB-435 > C6). In addition, RGD-TNF showed a more potent anti-tumor effect in $\alpha v \beta 3$ positive MDA-MB-435 tumor model than TNF protein (Figure 1B). Peptidomimetics have been radiolabeled to image integrin $\alpha v \beta 3$ expression as well. RP748 is a integrin $\alpha v \beta 3$ -specific small molecule [17]. It binds to activated integrin $\alpha v \beta 3$ with $K_d = 21 \pm 7$ nM in the presence of Mn^{2+} . ^{111}In -labeled RP748 was injected into apolipoprotein E $^{-/-}$ mice at different times after left carotid injury. Distribution of ^{111}In -RP748 was investigated by autoradiography and its relative intensity was at a maximum at 1 week and decreased by 4 weeks after injury, which correlated well with integrin $\alpha v \beta 3$ expression as assessed by immunohistochemical analysis. These findings will potentially lead to the development of non-invasive imaging strategies for vascular cell proliferation associated states, whether focal, as in post-angioplasty restenosis, or diffuse, as in pulmonary hypertension. As an extension of the successful application in integrin $\alpha v \beta 3$ imaging, PET imaging technique is expected to be used for imaging other important biomarkers, providing real-time functional information of disease behavior, such as biomarkers expression, vascular permeability and cell proliferation, which will allow for more accurate patient selection, monitoring and therapeutic intervention.

Using radiolabeled DDS to study the biodistribution, pharmacokinetic properties and therapeutic efficacy is non-invasive, highly sensitive and quantitative, which enables the determination of drug concentration in tissue. However, some intrinsic limitations of PET/SPECT imaging exist, such as low spatial resolution, which can be partially mitigated by SPECT-CT, PET-CT or PET-MRI fusion, which provides a correlation between the drug or tracer's concentration and anatomical structures. Another limitation of nuclear imaging is that radiolabeling may influence the properties of labeled

compounds, especially in the case of short peptides and some bioactive proteins. To address this problem, the development of site-specific radiolabeling protocol is required.

2.2 Optically labeled drug delivery system

Optical fluorescence imaging is based on the interaction of visible and/or near-infrared light with tissue; it distinguishes itself from other imaging modalities by several key features. It provides high sensitivity for detection, it is non-radioactive and it allows repeated dosing, it is relatively inexpensive and it is generally easy to apply. In addition, it incorporates techniques ranging from subcellular microscopy to macroscopic photography and three-dimensional volumetric tomography [20,21]. A targeted fluorescent imaging probe is typically comprised of an affinity ligand recognizing a disease biomarker that is conjugated to an active optical reporter. The disease biomarker might be a specific protein, cell surface receptors or enzyme that is either expressed, or upregulated in cancer cells, or associated with underlying biological processes, such as angiogenesis and metastasis. The optical reporters include organic fluorophores and semiconductor quantum dots. The optical reporter can be either directly conjugated to the affinity ligand bound to the cancer biomarker, or indirectly attached via a linker segment to the affinity ligand. Other than fluorescent labels, the recently emerged Raman imaging probes will also be discussed here.

2.2.1 DDS carrying fluorescent dyes

Among the different technologies being used to image molecular events, near-infrared (NIR) fluorescent optical imaging is particularly promising. NIR light (650 – 900 nm) can penetrate to a depth of 5 – 10 mm in tissue owing to the low photon absorption by water and hemoglobin in this spectral range [22]. Trastuzumab is a humanized monoclonal antibody targeting the HER2/neu extracellular domain and has been approved for the treatment of patients with HER2/neu-overexpressing cancers [23]. Gee *et al.* [24] recently covalently modified trastuzumab with NIR dye cyanine 5.5 (Cy5.5). The trastuzumab-Cy5.5 conjugate was used to distinguish HER2-normal from HER2-overexpressing human breast tumors *in vitro* and *in vivo*. Serial *in vivo* tumor imaging studies revealed progressive accumulation of trastuzumab-Cy5.5 in HER2-overexpressing BT-474 and SKBR-3 tumors, an intermediate level of accumulation in MCF-7 tumors expressing HER-2 to some extent, but no accumulation in HER2-negative 9L tumors. More importantly, trastuzumab-Cy5.5 enabled rapid interval assessment of the receptor level and adequate inhibition after therapy initiation. When BT-474 tumors were treated with saline, an intermediate dose of trastuzumab (5 mg/kg), or a full dose of trastuzumab (100 mg/kg), the binding of trastuzumab-AF750 to BT-474 tumor was either partially or almost completely blocked by 5 mg/kg and 100 mg/kg trastuzumab treatment, respectively. This study suggested practical applications of NIR imaging in the clinic, including non-invasive *in situ*

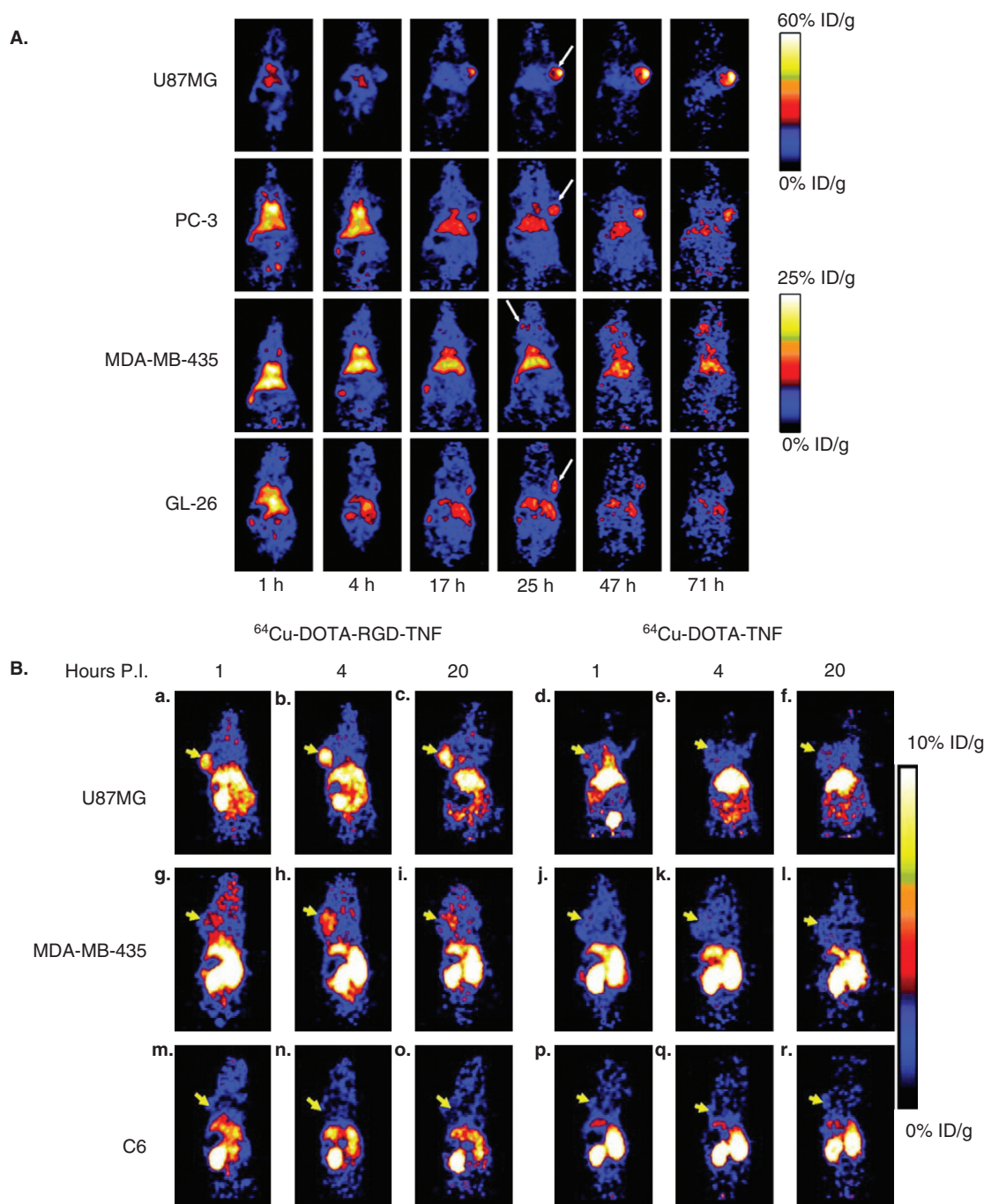


Figure 1. Positron emission tomography (PET) imaging probes coupled with targeted drug delivery potential. A. Serial microPET scans of mice bearing different tumors after injection of ^{64}Cu -DOTA-Abegrin. Note that ^{64}Cu -DOTA-Abegrin uptake in tumors correlated very well with $\alpha\beta 3$ expression levels (U87MG > MDA-MB-435 = PC3 > CL26). **B.** Serial microPET studies of mice bearing different tumors after injection of ^{64}Cu -DOTA-RGD4C-TNF and ^{64}Cu -DOTA-TNF. Note that ^{64}Cu -DOTA-RGD4C-TNF uptake in tumors correlated very well with $\alpha\beta 3$ expression levels (U87MG > MDA-MB-435 > C6).

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characterization of cancer patients, individualized drug dosing and imaging of primary therapeutic efficacy before changes in tumor growth are apparent. This strategy is generalizable to other monoclonal antibody cancer therapies and may be used to assess the adequacy of therapeutic dosing of the same antibody to block the target receptor.

2.2.2 DDS carrying semiconductor quantum dots

Semiconducting quantum dots (QDs) have emerged as a promising alternative to organic dyes as fluorescent biomarkers for *in vitro* and *in vivo* imaging; their superior brightness and photostability make them excellent candidates in the development of trackable multifunctional agents [25-30]. Detailed studies on the *in vivo* behaviors of QD probes revealed that QDs are delivered to tumors by both a passive targeting mechanism and an active targeting mechanism. In the passive mode, macromolecules and nanometer-sized particles are accumulated preferentially at tumor sites through the EPR effect [31]. Active targeting is usually achieved by conjugating to the QDs a targeting component that provides preferential accumulation of QDs in the tumor-bearing organ, in the tumor itself, individual cancer cells, or intracellular organelles inside cancer cells.

QDs can be engineered to carry targeting motif for biomarker imaging [32-38]. More desirably, QDs can be incorporated into a single nanoscale structure with tumor-targeting, imaging and drug delivery functions. Bagalkot *et al.* [39] reported a ternary novel system QD-Apt (Dox) composed of a QD, A10 RNA aptamer targeted to prostate-specific membrane antigen (PSMA) and the small molecular anticancer drug doxorubicin (Dox) for *in vitro* targeted imaging, therapy and sensing of drug release (Figure 2A). The QD-Apt (Dox) was able to deliver Dox to the targeted prostate cancer cells and sense the delivery of Dox by activating the fluorescence of QD, which concurrently images the cancer cells. Recently, Weng *et al.* [40] conjugated QD605/QD800 to Dox-loaded HER-2 targeted immunoliposomes (ILs). The Dox-loaded QD-ILs showed selective and efficient internalization, anticancer activity in HER-2 overexpressing tumor cells *in vitro*. Serial *in vivo* optical imaging showed that systematically administrated QD-ILs localized in HER2-overexpressing tumors. Although they did not test the antitumor effect of Dox-loaded QD-ILs *in vivo*, this is the first description of a targeted lipidic nanoparticle-QD system possessing tumor cell-targeting, imaging and drug delivery functions (Figure 2B).

QDs have also been used for RNA delivery and intracellular imaging. RNA interference (RNAi) is a powerful technology for sequence-specific suppression of genes and has broad applications ranging from functional gene analysis to targeted therapy. However, these applications are still limited by several major delivery problems in cellular entry, endosomal escape, dissociation from the carrier and coupling with cellular machine [41]. For cellular and *in vivo* RNAi deliveries, a number of approaches have been developed, which include QD-based small interfering RNA (siRNA) delivery and imaging. These QD probes are either mixed with conventional

siRNA delivery agents [32] or external endosomal rupture compounds [42] for gene silencing activity. It is worth noting that by taking advantage of the versatile chemistry of polymer-encapsulated QDs, proton-sponge coated QDs [43] and QD-amphipol nanocomplex [44] were highly responsive to the acidic organelles and are suitable for siRNA binding and cell entry. As a result, the gene silencing efficiency was significantly improved and the cytotoxicity was reduced simultaneously.

However, tracking and quantification of QD *in vivo* by fluorescence imaging is limited by tissue absorption of light, which impairs excitation of QD in deeper lying tissues and decreases fluorescent light penetration from deeper structures to the surface, where it can be measured [45]. However, future innovations in optical imaging by novel measurement technology may be able to address this limitation, for example, by utilization of a high resolution *in vivo* 3D microscopic system [46] or utilizing photoacoustic (PA) properties of QDs to detect [47] both fluorescence light and PA waves by integrated PT-PA-fluorescence modalities. The latter advances will make *in vivo* studies of QDs pharmacokinetics in tissues and organs 2 – 3 cm depth possible.

2.2.3 DDS carrying photosensitizers

Photodynamic therapy (PDT) involves three key components: a photosensitizer, light and tissue oxygen. A photosensitizer is a chemical compound that can be excited by light of a specific wavelength [48-50]. This excitation uses visible or near-infrared light, and creates an excited singlet state oxygen molecule (1O_2). Singlet oxygen is a very aggressive chemical species and will very rapidly react with any nearby biomolecules. Ultimately, these destructive reactions will kill cells through apoptosis or necrosis.

With increasing demand on treatment selectivity, the synergy of NIR fluorescence imaging and PDT is extremely compelling for several reasons. First, it is possible to use a single molecule for both purposes, since most photosensitizers can both emit fluorescence for NIRF imaging and produce cytotoxic reactive 1O_2 for PDT when activated by light. Second, the concentrations required for killing and imaging cells are in the same range and third, both techniques are promising *in vivo* cancer imaging and treatment modalities [51].

To improve the photosensitizer's tumor selectivity and specificity, many attempts have been made to direct photosensitizers to a known cellular target, including creating a photosensitizer conjugate with a ligand specific to the target [52-56]. A pyro-peptide-folate (PPF) construct [57], consisting of NIRF fluorophore and photosensitizer pyropheophorbide (Pyro), a stable peptide linker and modulator, and folate (Figure 3A), showed enhanced accumulation in folate receptor positive KB cancer cells both *in vitro* and *in vivo* (Figure 3B). Since most photosensitizers do not have the excitation and emission spectra favorable to image deep tissues, photosensitizers are sometimes coupled with NIR dyes for fluorescence image-guided PDT. A 'bifunctional agent' consisting of a highly effective photosensitizer, HPPH and a cyanine dye

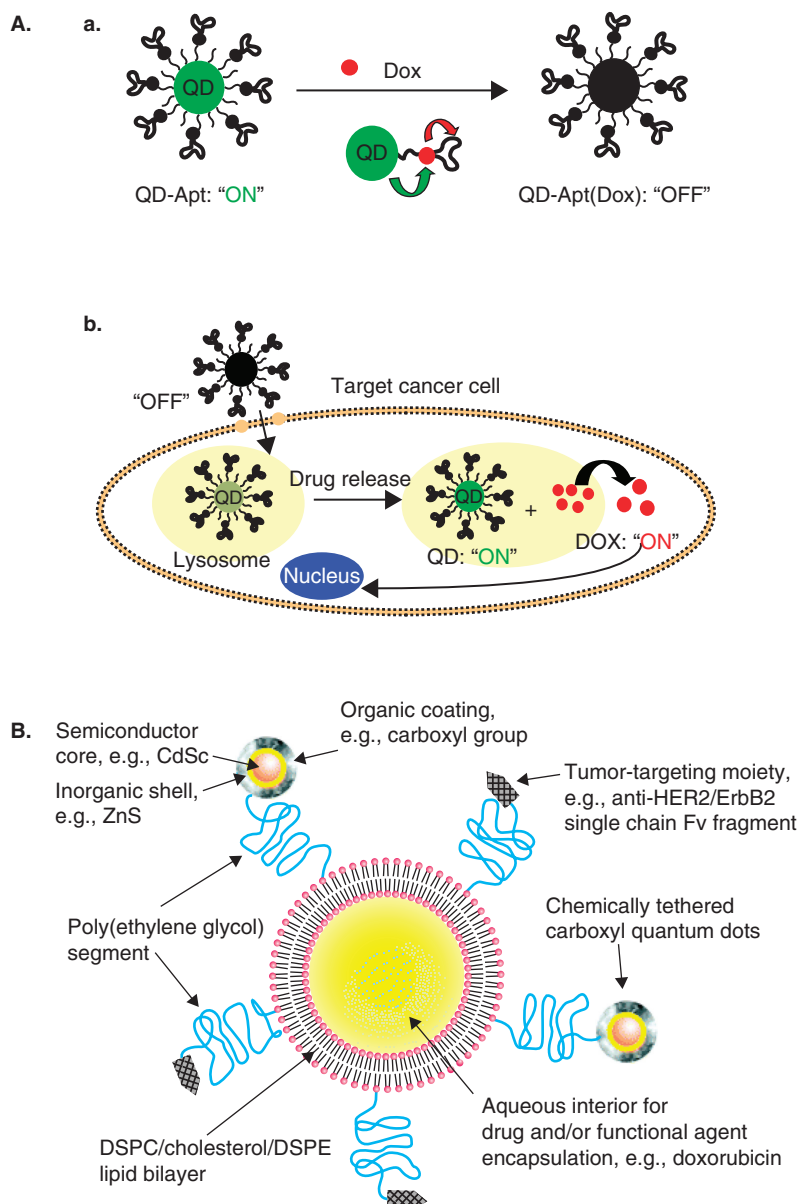


Figure 2. Optical imaging probes coupled with drug delivery potential. **A.** Schematic illustration of quantum dot (QD)–Apt (Dox) Bi-FRET system. (a) the CdSe/ZnS core-shell QD are surface functionalized with the A10 PSMA aptamer. The intercalation of Dox within the A10 PSMA aptamer on the surface of QDs results in the formation of the QD-Apt (Dox) and quenching of both QD and Dox fluorescence through a Bi-FRET mechanism resulting in the 'OFF' state. (b) Schematic illustration of specific uptake of QD-Apt (Dox) conjugates into target cancer cell through prostate-specific membrane antigen (PSMA) mediated endocytosis. The release of Dox from the QD-Apt (Dox) conjugates induces the recovery of fluorescence from QD and Dox ('ON' state), thereby sensing the intracellular delivery of Dox and enabling the synchronous fluorescent localization and killing of cancer cells. **B.** Schematic showing the structure of a QD-IL nanoparticle. Derivatized CdSe/ZnS core/shell QDs are represented as a sphere with a layer of organic coating (gray) covering the outer surface of the inorganic shell (yellow) and the semiconductor core (orange). Surface-derivatized QDs were chemically linked to functionalized PEG-DSPE incorporated in extruded liposomes. Anti-HER2 single chain Fv fragments (scFv, arrowheads) are attached to the end of PEG chains. 2A reproduced with permission from Bagalkot V, Zhang L, Levy-Nissenbaum E, *et al.* Quantum dot-aptamer conjugates for synchronous cancer imaging, therapy, and sensing of drug delivery based on bi-fluorescence resonance energy transfer. *Nano Lett* 2007;7:3065-70 [39]. Copyright © 2009 American Chemical Society. 2B reproduced with permission from Weng KC, Noble CO, Papahadjopoulos-Sternberg B, *et al.* Targeted tumor cell internalization and imaging of multifunctional quantum dot-conjugated immunoliposomes *in vitro* and *in vivo*. *Nano Lett* 2008;8:2851-7 [40]. Copyright © 2009 American Chemical Society.

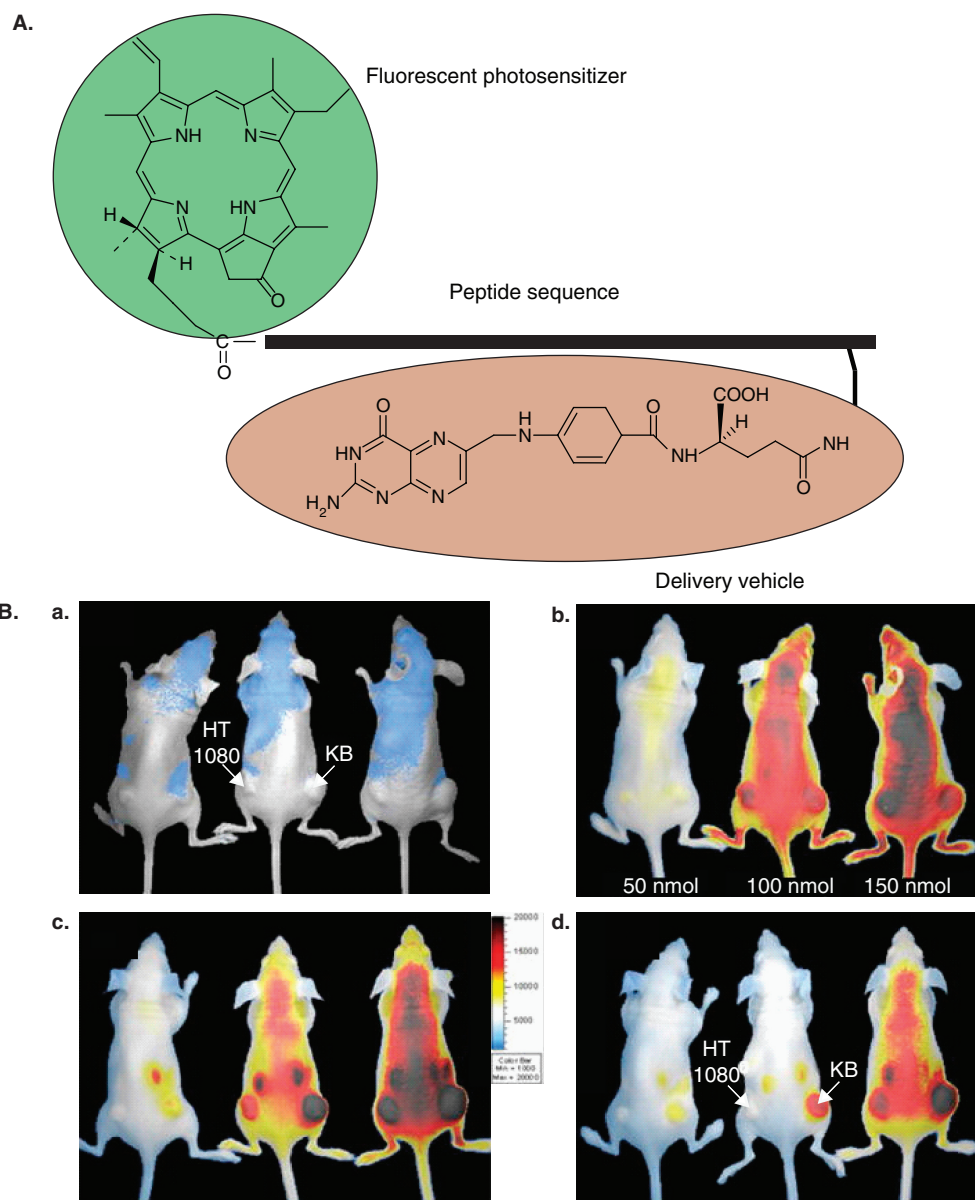


Figure 3. Fluorescent photosensitizer as an imaging and therapeutic agent. **A.** Pyro-GDEVDGSGK-Folate comprises three principal components: (1) fluorescent photosensitizer pyro-pheophorbide-a, an imaging and therapeutic agent; (2) peptide sequence, a stable and pharmacomodulating linker that can be exchanged with any organelle-targeting sequence; and (3) folate, serving as a cancer-specific delivery vehicle. **B.** Monitoring fluorescence signal distribution after i.v. injection of three different doses of pyro-peptide-folate (PPF) to double tumor-bearing mice that have a KB tumor (FR⁺) on the right side and an HT 1080 tumor (FR⁻) on the left side, using a Xenogen imager. (a) Pre-scan, (b) 5 min after injection of 50 nmol (left mouse), 100 nmol (middle mouse) and 150 nmol (right mouse) of PPF, (c) 6 h after injection and (d) 24 h after injection. At this point, it is clear that PPF preferentially accumulates in the KB tumor. **C.** Structure of compound 5. **D.** *In vivo* photosensitizing efficacy of conjugate 5 in C3H mice (10 mice/group) bearing RIF tumors and variable concentrations. The tumors were exposed to a laser light (665 nm, 135 J/cm²) at 24 h after injection. Drug concentrations were 0.5 – 2.5 μmol/kg (upper) and 2.5 – 3.5 μmol/kg (lower), respectively. **E.** High resolution tumor images indicated tumor localization of conjugate 5 in a live mouse 24 h after injection (drug dose = 0.3 μmol/kg). **F.** Schematic showing the structure of Fe₃O₄/SiO₂ (Ir) nanoparticles. i) 3-(Triethoxysilyl) propylisocyanate, THF, reflux; and ii) tetraethyl orthosilicate (TEOS) polymerization with addition of NH₄OH under a reverse micelle system.

piq(H): 1-phenylisoquinoline; TES: triethoxysilane; NP: nanoparticle.

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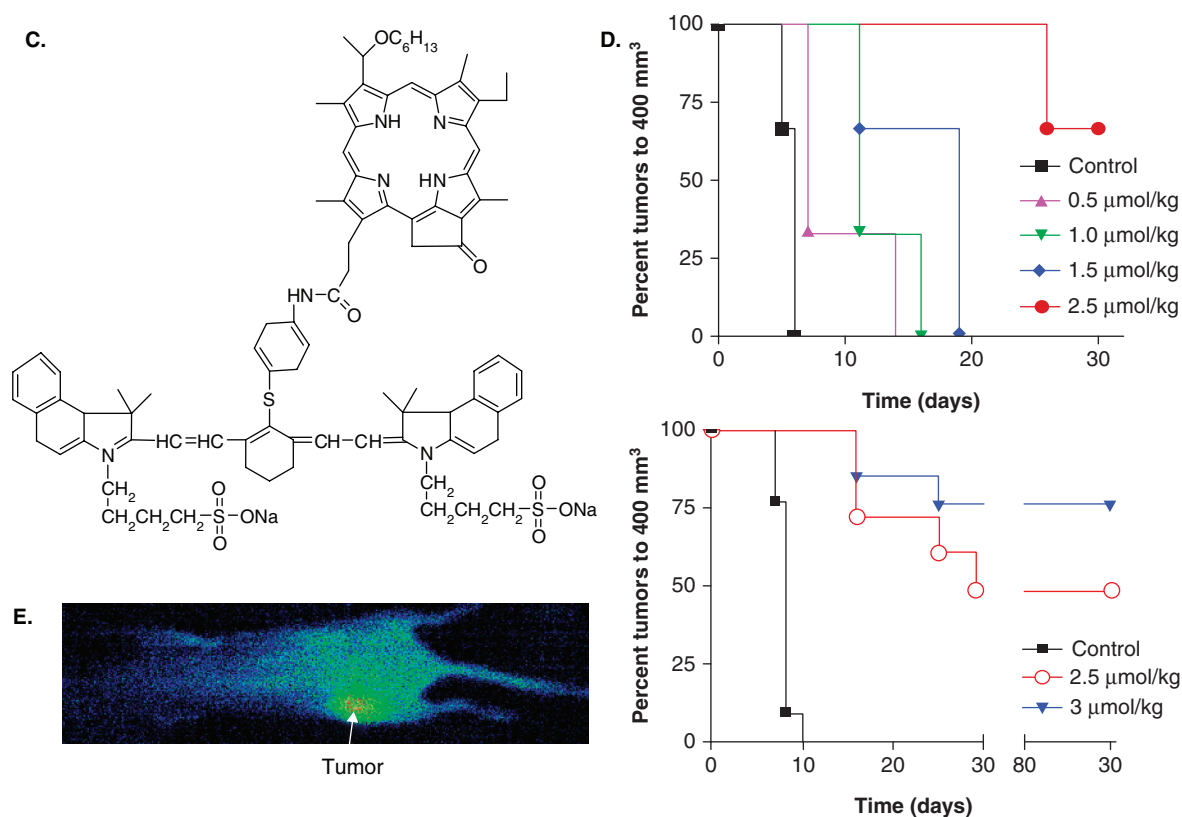


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exhibiting long wavelength absorption at 660 and 836 nm, respectively, was designed and synthesized [58]. The resulting conjugate (Figure 3C) was found to localize in the mitochondria, the most sensitive intracellular target for PDT. The *in vivo* photosensitizing efficacy was determined by exposing tumor xenograft to light (135 J/cm²) at 24 hr after injection of the conjugate at variable doses. As shown in Figure 3D, a clear dose-response relationship was observed, with a dose of

3.5 μmol/kg of the conjugate being highly effective in inhibiting tumor growth (80% mice were tumor-free at day 90). Whole body fluorescence imaging indicated significant tumor-imaging capability of the conjugate even at a dose of 0.3 μmol/kg, which was 10-fold less than the most effective therapeutic dose (Figure 3E). This approach provides a unique opportunity to develop more tumor-specific, bifunctional agents for tumor detection and therapy.

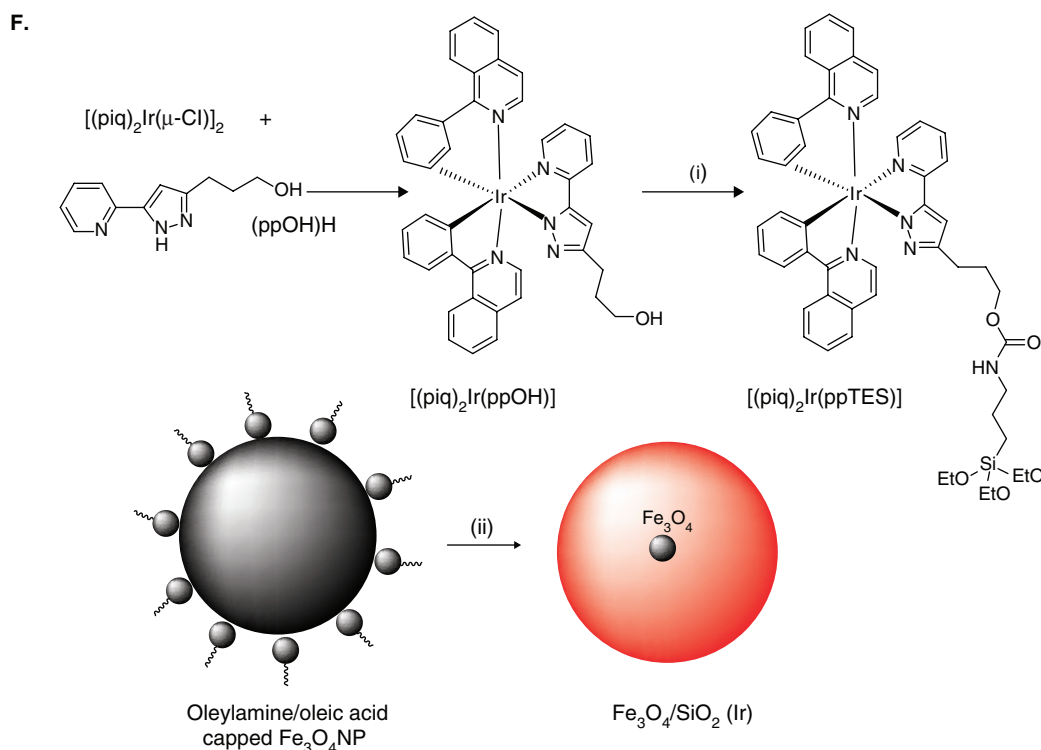


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3F reproduced with permission from Lai CW, Wang YH, Lai CH, *et al.* Iridium-complex-functionalized $\text{Fe}_3\text{O}_4/\text{SiO}_2$ core/shell nanoparticles: a facile three-in-one system in magnetic resonance imaging, luminescence imaging, and photodynamic therapy. *Small* 2008;4:218-24 [60]. Copyright © 2009 John Wiley & Sons Ltd.

To further improve the selectivity of PDT, Zheng *et al.* [59] introduced a concept of photodynamic molecular beacons (PMB) for controlling the photosensitizer's ability to generate $^1\text{O}_2$ and, ultimately, control its PDT activity. As we know that fluorescence resonance energy transfer (FRET)-based target activatable probes offer the control of fluorescence emission in response to specific cancer target; PDT is a cell-killing process by light activation of a photosensitizer in the presence of oxygen. The development of PMB is a combination of these two principles (FRET and PDT). It is comprised of a disease-specific linker, a photosensitizer and a $^1\text{O}_2$ quencher so that the photosensitizer's photoactivity is silenced until

the linker interacts with a target molecule, such as a tumor-associated protease. As a proof-of-principle, an MMP-7-triggered PMB (PP_{MMP7}B) was synthesized using: i) pyro as photosensitizer because of its excellent $^1\text{O}_2$ quantum yield, NIR fluorescence emission and high tumor affinity; ii) black hole quencher 3 (BHQ3) as a dual fluorescence and $^1\text{O}_2$ quencher; and iii) a short peptide sequence, GPLGLARK, as the MMP7-cleavable linker. PP_{MMP7}B showed MMP-7 specific production of $^1\text{O}_2$ free radicals, cell mitochondria specific uptake and photodynamic cytotoxicity in MMP-7 positive cells *in vitro*. After the PP_{MMP7}B probe was intravenously injected into KB tumor-bearing mice, no fluorescent signal was observed

initially, which confirmed that PP_{MMP7}B is optically silent in its native state because of fluorescence quenching by BHQ3. However, the fluorescence signal started to increase in tumors 20 min after injection and reached the highest level at 3 hr afterwards, clearly indicating PP_{MMP7}B activation by MMP-7. The PDT treatment was given at this time. Three days after PDT, the treated tumor in the drugged mouse reduced in size, and 30 days after PDT, it completely regressed without any sign of re-growth, whereas the untreated tumor and both tumors in the drug-free mouse continued to grow. These data demonstrate that PP_{MMP7}B accumulates in MMP-7 positive tumors and can be photodynamically activated *in situ*.

To integrate MRI, luminescence imaging and PDT into a simple three-in-one functionality to avoid the side effect of systematic administered photosensitizer and to prevent luminescence quenching caused by direct contact of magnetic nanoparticles and dye molecules and consequent decrease of ¹O₂ production from photosensitizer, Lai *et al.* [60] recently reported a new multifunctional system (Figure 3F) that consists of highly magnetized superparamagnetic iron oxide (SPIO) nanoparticles coated with an inorganic silica shell, which plays a key role in MRI, while the modified third-row transition-metal complexes encapsulated within SiO₂ serve dual functions as a photosensitizer and luminescent moiety. The Fe₃O₄/SiO₂(Ir) nanocomposite demonstrates its potential *in vitro* in multiple applications – the magnetic core provides the capability for MRI, the Ir³⁺ complex greatly enhances the spin-orbit coupling for phosphorescent labeling and simultaneous ¹O₂ generation inducing apoptosis. But its *in vivo* application was hampered by its tendency to aggregate in high salt concentrations. Further optimization of surface modification is required.

2.2.4 DDS carrying Raman nanoparticles

The Raman effect is the inelastic scattering of the photon. When light is scattered from an atom or molecule, most photons are elastically scattered [61]. The scattered photons have the same frequency and wavelength as the incident photons. However, a small fraction of the scattered light (approximately 1 in 10⁷ million photons) is inelastically scattered, with the scattered photons having a frequency different from, and usually lower than, the frequency of the incident photons. Raman spectroscopy can differentiate the spectral fingerprint of many molecules, resulting in very high multiple capabilities. Narrow spectral features are easily separated from the broad-band autofluorescence, and Raman active molecules are more photostable compared with fluorophores, which are rapidly photobleached. However, the inherently weak magnitude of the Raman effect limits the sensitivity and, as a result, the biomedical applications of Raman spectroscopy. The discovery of surface-enhanced Raman spectroscopy (SERS) overcomes this lack of sensitivity and has become a powerful tool for the characterization of a wide range of inorganic and biologically relevant analytes. The main advantage of SERS is a 10³ – 10⁷

enhancement of the Raman signal of an analyte when it is adsorbed to or near the surface of nano-roughened noble metal, which results in high Raman intensities comparable to fluorescence. SERS Raman imaging is promising for the next generation of biological imaging (Figure 4A – B) [62].

A single walled nanotube (SWNT) is inherently Raman active and exhibits a strong Raman peak at 1,593 cm⁻¹. Various biological molecules like drugs [63–66], peptides [67], proteins [68], plasmid [69] and siRNA [70] have been incorporated onto SWNTs by either covalent coupling or non-covalent adsorption methods. The resulting solubilized nanotubes readily enter cells by endocytosis and by other mechanisms. Functionalized, solubilized SWNTs can cross cell membranes with little cytotoxicity. SWNTs also provide a very high surface area per unit weight for high drug loading. Lastly and foremost, the intrinsic spectroscopic properties of nanotubes, including Raman and photoluminescence, can provide valuable means of tracking, detecting and imaging SWNTs to understand the *in vivo* behavior and drug delivery efficacy *in vivo* [71]. Liu *et al.* [65] conjugated paclitaxel (PTX) to branched polyethylene glycol (PEG) chains on SWNTs via a cleavable ester bond to obtain a water soluble SWNT–PTX conjugate (Figure 4A). The i.v. injection of SWNT–PTX into tumor-bearing mice resulted in a tumor growth inhibition (TGI) of 59.4%, which was significantly more effective than the control formulations (Figure 4B). In addition, the intrinsic Raman scattering properties of SWNTs were utilized to determine the blood circulation half-life and biodistribution of SWNT–PTX by using Raman spectroscopy without relying on radiolabel or fluorescent label. SWNT–PTX conjugates showed high uptake in the reticulo-endothelial system (RES) organs, including the liver and spleen. Tumor uptake of SWNT–PTX increased significantly from ~ 1% ID/g at 30 min to ~ 5% ID/g at 2 h, indicating SWNT–PTX accumulation during this period through blood circulation. They further carried out micro-Raman imaging of SWNTs in tumor slices on sacrificed mice treated by SWNT–PTX at 24 hr after injection. The tumor uptake of SWNTs was indeed confirmed by Raman mapping of the SWNT characteristic G-band Raman peak in the tumor with a spatial resolution of ~ 1 μm.

Furthermore, SWNTs are semiconducting, quasi one-dimensional materials with small band-gaps in the order of ~ 1 eV, exhibiting photoluminescence in the NIR region [64,72]. NIR imaging of the photoluminescent SWNTs has been shown both *in vitro* and *in vivo* [73–75]. Antibodies conjugated SWNTs as near-infrared (NIR) fluorescent labels were able to successfully probe cell surface receptors with high specificity and high sensitivity (Figure 4E – F). The SWNT NIR fluorophores exhibit important advantages in low background, which could be used for sensitive molecular detection and imaging at the cellular level and eventually *in vivo*.

Despite all this promise, the biomedical applications of SWNT-based theragnostics are still in the exploratory stage. It is imperative to determine their toxicological and

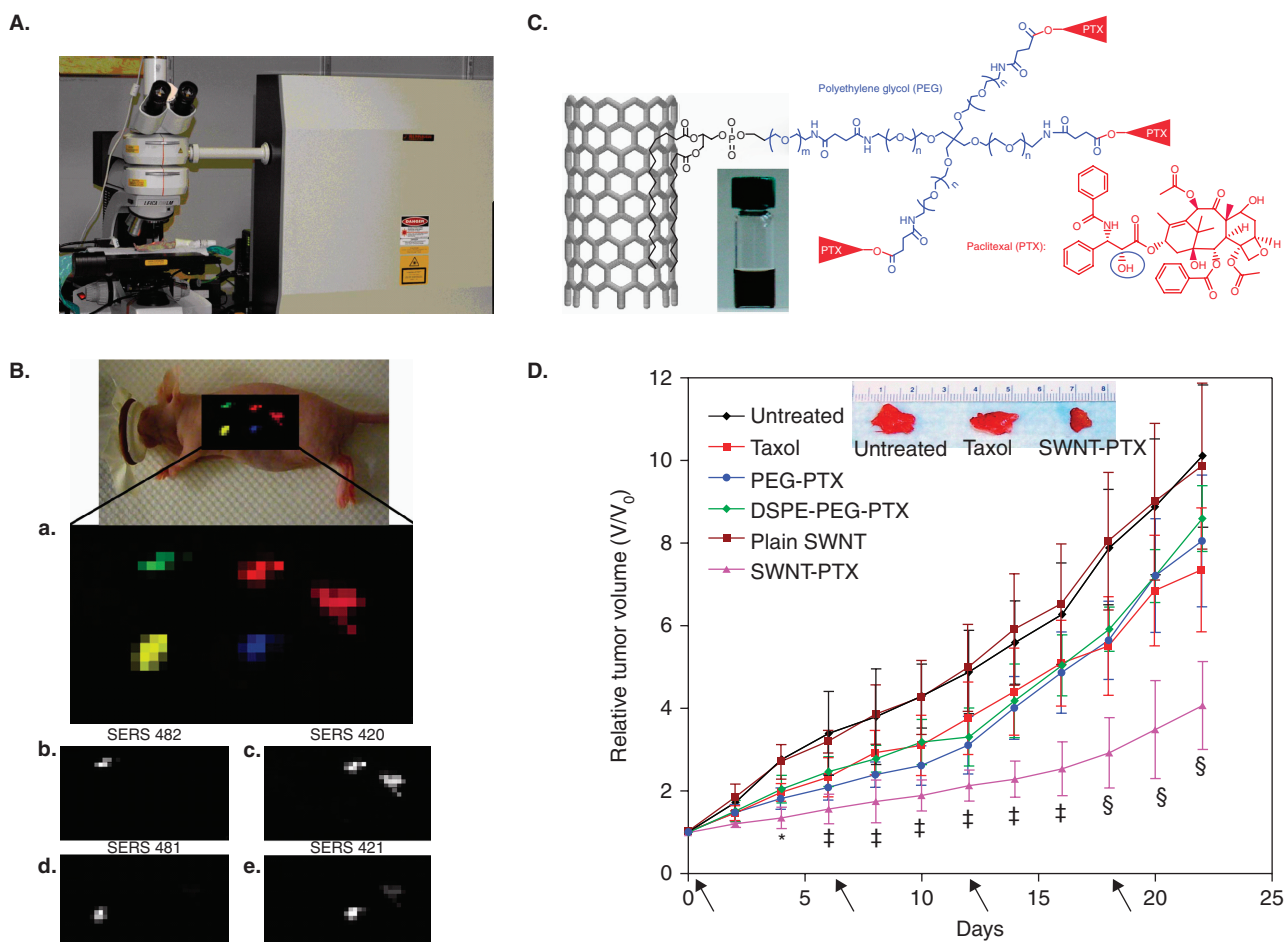


Figure 4. Single walled nanotubes (SWNTs) served as a targeted drug delivery vehicle and can be imaged by Raman spectroscopy. **A.** Photograph of Raman microscope adapted for small animal imaging with mouse positioned supine on an x-y translocation stage. **B.** Evaluation of multiplexing four different concentrations of SERS nanoparticles *in vivo*. (a) Raman map of four different surface-enhanced Raman spectroscopy (SERS) particles injected s.c. The fifth s.c. injection, represented in purple at the far right, is a mixture of the different four SERS particles with different concentrations. (b) Raman map depicting the SERS 482 nanoparticles; this injection site is green in (a). Notice how there is faint pixel brightness in the fifth injection site, corresponding to the least concentration of this SERS particle in the mixture. (c) Raman map depicting the SERS 420 nanoparticles; this injection site is red in (a). Note how there is intense pixel brightness in the fifth injection site corresponding to the highest concentration of this SERS particle in the mixture. (d) Raman map depicting SERS 481 nanoparticles; this injection site is yellow in (a). Notice how the fifth injection site shows the third brightest pixel intensity corresponding to the second least concentrated SERS particle mixture. (e) Raman map depicting the SERS 421 nanoparticles; this injection site is blue in (a). Notice how the fifth injection site shows the second brightest pixel intensity, corresponding to the second most concentrated of the SERS particle mixture. **C.** Schematic illustration of paclitaxel (PTX) conjugation to SWNT functionalized by phospholipids with branched PEG chains. **D.** Nanotube PTX delivery suppresses tumor growth of 4T1 breast cancer mice model. The tumor growth curves of 4T1 tumor-bearing mice that received different treatments are indicated. The same PTX dose (5 mg/kg) was injected (on days 0, 6, 12 and 18, marked by arrows) for Taxol, PEG-PTX, DSPE-PEG-PTX and SWNT-PTX. * $p < 0.05$; † $p < 0.01$; § $p < 0.001$, Taxol versus SWNT-PTX. Inset, a photo of representative tumors taken out of an untreated mouse (left), a Taxol-treated mouse (middle) and a SWNT-PTX-treated mouse (right) after killing the mice at the end of the treatments. **E.** Near-infrared (NIR) fluorescence images of (a) Raji cells (B-cell lymphoma, CD20 positive) and (b) CEM cells (T-cell lymphoma, CD20 negative) treated with the SWNT-Rituxan conjugate. **F.** NIR fluorescence image of BT-474 cells (a), which are HER₂/neu positive, treated with the SWNT-Herceptin conjugate. NIR fluorescence image of MCF-7 cells (b), which are HER₂/neu negative, treated with the SWNT-Herceptin conjugate.

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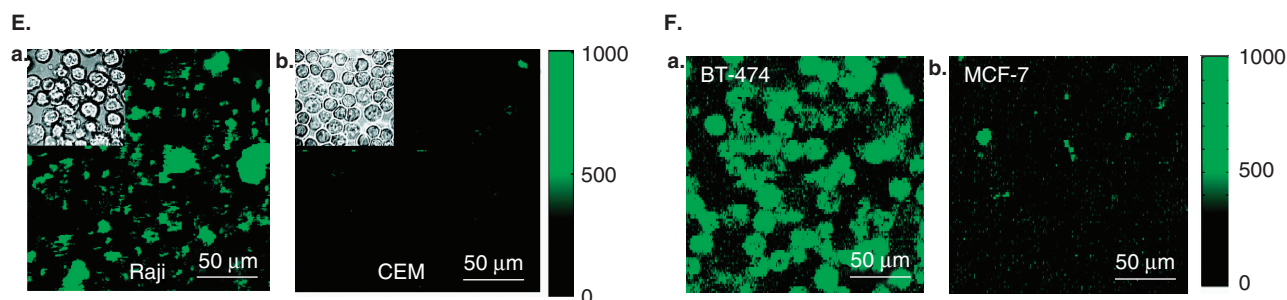


Figure 4. Single walled nanotubes (SWNTs) served as a targeted drug delivery vehicle and can be imaged by Raman spectroscopy (Continued). **A.** Photograph of Raman microscope adapted for small animal imaging with mouse positioned supine on an x-y translocation stage. **B.** Evaluation of multiplexing four different concentrations of SERS nanoparticles *in vivo*. (a) Raman map of four different surface-enhanced Raman spectroscopy (SERS) particles injected s.c. The fifth s.c. injection, represented in purple at the far right, is a mixture of the different four SERS particles with different concentrations. (b) Raman map depicting the SERS 482 nanoparticles; this injection site is green in (a). Notice how there is faint pixel brightness in the fifth injection site, corresponding to the least concentration of this SERS particle in the mixture. (c) Raman map depicting the SERS 420 nanoparticles; this injection site is red in (a). Note how there is intense pixel brightness in the fifth injection site corresponding to the highest concentration of this SERS particle in the mixture. (d) Raman map depicting SERS 481 nanoparticles; this injection site is yellow in (a). Notice how the fifth injection site shows the third brightest pixel intensity corresponding to the second least concentrated SERS particle mixture. (e) Raman map depicting the SERS 421 nanoparticles; this injection site is blue in (a). Notice how the fifth injection site shows the second brightest pixel intensity, corresponding to the second most concentrated of the SERS particle mixture. **C.** Schematic illustration of paclitaxel (PTX) conjugation to SWNT functionalized by phospholipids with branched PEG chains. **D.** Nanotube PTX delivery suppresses tumor growth of 4T1 breast cancer mice model. The tumor growth curves of 4T1 tumor-bearing mice that received different treatments are indicated. The same PTX dose (5 mg/kg) was injected (on days 0, 6, 12 and 18, marked by arrows) for Taxol, PEG-PTX, DSEP-PEG-PTX and SWNT-PTX. * $p < 0.05$; † $p < 0.01$; ‡ $p < 0.001$, Taxol versus SWNT-PTX. Inset, a photo of representative tumors taken out of an untreated mouse (left), a Taxol-treated mouse (middle) and a SWNT-PTX-treated mouse (right) after killing the mice at the end of the treatments. **E.** Near-infrared (NIR) fluorescence images of (a) Raji cells (B-cell lymphoma, CD20 positive) and (b) CEM cells (T-cell lymphoma, CD20 negative) treated with the SWNT-Rituxan conjugate. **F.** NIR fluorescence image of BT-474 cells (a), which are HER₂/neu positive, treated with the SWNT-Herceptin conjugate. NIR fluorescence image of MCF-7 cells (b), which are HER₂/neu negative, treated with the SWNT-Herceptin conjugate.

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pharmacological profiles before any clinical application of carbon nanotubes can be deemed feasible. Recently, a number of studies have examined the toxicological profile of carbon nanotubes *in vivo*. Most of the carbon nanotubes cytotoxicity studies focused on pulmonary toxicity [76,77] and skin toxicity [78,79] after local administration. However, Singh *et al.* [80] reported that ¹¹¹In-DTPA-SWNT followed a rapid, first-order clearance from the blood compartment through the renal excretion route without any toxic side effects or mortality after *i.v.* injection. Their work presents for the first time the preliminary pharmacokinetic data of carbon nanotubes; further pharmacological investigations of different types of nanotubes are necessary to determine the limitations and opportunities that the non-biodegradable SWNT-based delivery system may offer.

2.3 Ultrasound contrast agent-based DDS

Ultrasonography (US) is by far the most commonly used clinical imaging modality worldwide because it is safe and

cost effective. Ultrasonic contrast agents have been the subject of active research, especially in recent years, with added interest in developing site-directed ultrasonic contrast agents. A site-directed ultrasonic contrast agent is designed to specifically and sensitively enhance the acoustic reflectivity of a pathological tissue that would otherwise be difficult to distinguish from the surrounding normal tissue. Most site-targeted acoustic contrast agents are based on microbubbles [81] and nano-emulsions [82-84].

2.3.1 Microbubbles

Microbubbles are small (typically 1 – 4 μ m in diameter) gas-filled bubbles used as ultrasound contrast agents [85,86], which work by resonating in an ultrasound beam, rapidly contracting and expanding in response to the pressure changes of the sound wave. By a fortunate coincidence, they vibrate particularly strongly at the high frequencies used for diagnostic ultrasound imaging, which makes them several thousand times more reflective than normal body tissues and consequently

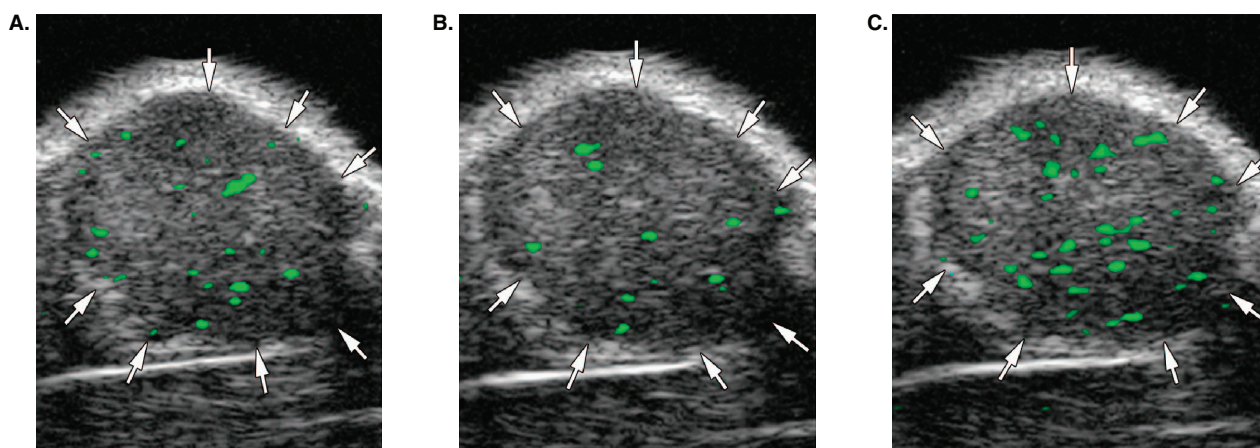


Figure 5. Microbubble-based ultrasound contrast agents coupled with drug delivery potential. A – C. Transverse color-coded ultrasound images in subcutaneous human ovarian adenocarcinoma (SK-OV-3) xenograft tumor (arrows) from nude mouse. Imaging was performed in same imaging session 4 min after i.v. injection of VEGFR-targeted microbubbles **A**, integrin $\alpha\beta3$ targeted microbubbles, **B** or VEGFRs and integrin $\alpha\beta3$ dual-targeted microbubbles **C**, with 30 min between injections to allow clearance of previously injected microbubbles. Reproduced with permission from Willmann JK, Lutz AM, Paulmurugan R, *et al.* Dual-targeted contrast agent for US assessment of tumor angiogenesis *in vivo*. Radiology 2008;248:936-44 [99]. Copyright © 2009 Radiological Society of North America.

enhances both grey scale images and flow-mediated Doppler signals. Microbubble contrast agent was initially used as a blood pool contrast agent, allowing quantification of regional ischemia in the myocardium and other organs [87]; it can also be used to enhance imaging of the liver parenchyma [88-90] or to improve accuracy and sensitivity of cancer assessment or staging [91-93]. To broaden its application in the detection of a pathognomic molecular marker, site-targeted microbubbles have been developed to recognize thrombi [94], activated endothelial cells [95-97] and VEGFRs [98] to image molecular events *in vivo* and to assess inflammation, angiogenesis and early tumor detection. Willmann *et al.* [99] developed dual-targeted perfluorocarbon-filled microbubbles conjugated with anti-integrin $\alpha\beta3$ and anti-VEGFR-2 antibodies (Figure 5A – C). However, the use of microbubbles in treatments may eventually be even more important than their diagnostic uses. Microbubbles can aid drug delivery in themselves by acting as ‘cavitation nuclei’ and as agents to carry drugs for site-specific treatment [100-102].

To facilitate drug delivery, microbubbles and drug are systematically injected simultaneously and circulate freely in small vessels [103,104]. Once a sufficiently strong ultrasound pulse is applied to the area, the microbubbles expand and rupture the endothelial lining. The drug is then able to extravasate. Recombinant VEGF [105] and rHGF [106] have been successfully delivered to the heart in a heart failure model using the ultrasound-targeted microbubble destruction method. The microbubbles can also be loaded with drugs [107,108]. In this case, the drug-laden microbubbles freely circulate throughout the vasculature. A pulse of ultrasound is applied and ruptures the microbubble, thereby liberating the drugs. Because ultrasound is applied locally, the drug is preferentially delivered locally. More desirably, microbubbles

are modified with targeted motif and loaded with drugs as well for enhanced targeted drug delivery. Microbubbles with surface ligand and drug-laden are first freely circulated. Then the ligand preferentially binds to the endothelial target, resulting in an accumulation of microbubbles in the target region. An ultrasound pulse is then applied to liberate the drug. However, there is as yet no report on utilizing microbubbles equipped with both targeting ligand and therapeutic agents.

2.3.2 Nano-emulsions

Site-targeted nanoparticle contrast agents, when bound to the appropriate receptor, must be detected in the presence of bright echoes returned from the surrounding tissue. Targeted perfluorocarbon nanoparticles act as a suitable molecular imaging agent by modifying the acoustic impedance on the surface to which they bind [109]. Based on the well-described avidin–biotin interaction, target liquid-perfluorocarbon, lipid-encapsulated nanoparticles were delivered by a three-step strategy to fibrin expressed on clots [84,109]: the first step delivers a biotinylated antifibrin antibody; the second step delivers avidin; and lastly, biotinylated perfluorocarbon emulsion is delivered. Binding of site-targeted perfluorocarbon emulsion resulted in ultrasonic enhancement. Thrombi exposed to antifibrin-targeted contrast exhibited increased echogenicity. The enhancement showed monotonic increase with time for the targeted clots. In another study, Lanza *et al.* [82] prepared single-step perfluorocarbon emulsion, and conjugated the nano emulsion with F(ab)’ fragment against recombinant porcine tissue factor. Intravascular ultrasonic imaging was performed after targeted ultrasonic contrast injection in a stretch-induced tissue factor expression model in pigs. The results demonstrated that the targeted nanoparticles

infiltrated the tunica media through the damaged endothelium and internal elastic lamina and bound to tissue factor epitopes expressed on myocyte membranes in response to the overstretch stimulus.

The favorable nature of ultrasound, such as its non-invasiveness, ease to control and most importantly the success of ultrasound-triggered local drug delivery via cavitation, radiation forces and/or heat convince us that in the near future, incorporation of both targeting motif and therapeutic motif (drugs, cytokine) to individual ultrasound contrast agents for focused ultrasound imaging and site-directed drug delivery using microbubbles, echogenic liposomes (ELIP) or liposomal bubbles [110-114], polymeric micelles [115-118] or a calcium carbonate suspension [119] are expected to extend the diagnostic and therapeutic horizons of ultrasound imaging greatly.

2.4 MRI imaging contrast agents coupled with drug delivery potential

Magnetic resonance imaging (MRI) is the most versatile and powerful imaging modality available in both clinical and research settings for visualizing soft tissues with high spatial resolution. MRI is based on the property that hydrogen protons align and process around an applied magnetic field, B_0 . Upon application of a transverse radiofrequency pulse, these protons are perturbed from B_0 . The subsequent process through which these protons return to their original state is referred to as the relaxation phenomenon. Two independent processes – longitudinal relaxation (T_1 -recovery) and transverse relaxation (T_2 -decay) – can be monitored to generate an MR image. The signal enhancement produced by MR contrast agents depends on their longitudinal or transverse relaxivity, which is defined as the ability of a contrast agent to shorten T_1 and T_2 , that is r_1 ($1/T_1$) and r_2 ($1/T_2$), and is expressed in $\text{mM}^{-1}\text{s}^{-1}$. In general, there are two classes of MR contrast agents. The positive contrast agents, usually paramagnetic complex of Gd^{3+} or Mn^{2+} ions, have a low r_2/r_1 ratio and therefore generate positive contrast (bright/hot spots) in T_1 -weighted images. Superparamagnetic contrast agents, have a high r_2/r_1 ratio and therefore cause dark spots in T_2 - and T_2^* -weighted images, and are therefore defined as negative contrast agents. These contrast agents are usually based on magnetic nanoparticles (MNPs), a class of nanoparticles commonly consisting of magnetic elements such as iron, nickel and cobalt and their chemical compounds.

So far, MRI contrast agents approved for clinical applications are mostly low molecular weight Gd^{3+} chelates, including Gd-DTPA, Gd-DOTA and their derivatives. However, these agents are rapidly extravasated from blood circulation and eliminated via renal clearance, which result in a transient time window for contrast-enhanced MRI examinations and a limited efficacy in improving MR image quality. As a result, several amphiphilic aggregates such as liposome, micelles, micro-emulsions and lipid proteins have been used as carriers to encapsulate Gd^{3+} or Mn^{2+} for MRI [120-124]. Here we will emphasize the recent progress on site-specific molecular imaging

probes which can potentially aid in early disease detection, monitoring of treatment efficacy and drug development.

Targeted motifs can be directly attached to MR contrast agents, which include positive contrast agent, their derivatives and negative MR contrast agents, such as magnetic nanoparticles (MNP). These versatile targeted MR contrast agents have been used to image various biomarkers in various diseases and biological processes. Targeted contrast agents consisting of Gd-perfluorocarbon nanoparticles linked to the integrin $\alpha v\beta 3$ antibodies [125,126], RGD mimetics [127] or integrin $\alpha v\beta 3$ peptidomimetic antagonist [128,129] are able to enhance MR signal intensity in a integrin $\alpha v\beta 3$ -dependent manner. For example, a thiolated peptidomimetic vitronectin antagonist (i.e., $\alpha v\beta 3$ antagonist) targeted paramagnetic nanoparticle was able to detect and characterize angiogenesis of nascent melanoma xenografts in nude mice bearing very small tumors ($\sim 30 \text{ mm}^3$) by increasing the spatial resolution and using a small surface coil [129]. MRI is a particularly sensitive modality to non-invasively visualize thromboses. High-resolution MRI detection and characterization of atherosclerotic lesions with serial imaging over time has been used to assess lesion progression or regression [130,131]. Flacke *et al.* [132] developed a novel fibrin-specific, lipid-encapsulated liquid perfluorocarbon nanoparticle that has high avidity, prolonged systemic half-life and can carry high Gd-DTPA payloads for high detection sensitivity. Imaged with 3D T_1 -weighted, fat-suppressed, fast-gradient-echo sequence, the fibrin-targeted paramagnetic nanoparticles showed great ability to enhance the detection of intravascular clots and minute thrombi within fissures of active vulnerable plaques. These studies demonstrated that high resolution MRI allows clinically relevant biomarkers to be detected and spatially localized.

Although it is highly possible to develop an MRI-visible, targeted nanoscale DDSs based on the Gd^{3+} -loaded macromolecules or MNPs, there are few reports regarding a single nanoscale system integrating both targeted imaging and targeted DDS so far. Nasongkla *et al.* [133] first described the development of multifunctional polymeric micelles composed of three key components: i) a chemotherapeutic agent Dox that is released from micelles through a pH-dependent mechanism; ii) a cyclic RGD ligand that can target integrin $\alpha v\beta 3$ on tumor endothelial cells; and iii) a cluster of SPIO nanoparticles loaded inside the hydrophobic core of each micelle for ultrasensitive MRI detection. The clustering of SPIO nanoparticles increased the T_2 relaxivity dramatically and as low as nanomolar concentration of SPIO–Dox micelles are MRI detectable due to the high loading density of SPIO (up to 50 w/w%). More specifically, at 6.25 Fe $\mu\text{g/ml}$, the MRI signal intensity decreased from 73.8 ± 7.0 for RGD-free micelles to 30.2 ± 3.5 for RGD-functionalized micelles (the untreated cells were used as a control with MR intensity at 100). In addition, the presence of the ionizable ammonium group on Dox ($pK_a \approx 7.0$) made the drug release pH-dependent. At pH 5.0, 10.4% of drug was released in 6 hr, approximately six times faster compared to

1.7% drug release at pH 7.4. The pH sensitivity facilitates drug release from micelles once inside the acidic endosomal compartment and increase the intracellular bioavailability of the drug. Hanessian *et al.* [134] covalently attached two well-known anti-tumor agents, 5-fluorouracil (5-FU) and Dox, to a mixed polymer of polyvinylalcohol (PVA) and poly(vinylalcohol/vinylamine) (aminoPVA) through appropriate bifunctional linkers, which upon enzyme cleavage released drugs at the target site, then the ferrofluid consisting of iron oxide nanoparticles were added to get the drug–SPION conjugates. The drug–SPION demonstrated highly synergistic anti-proliferative activity *in vitro* and superior T_2 relaxivity (r_2) and elongated circulation half-life *in vivo*. Lanza *et al.* [135] developed tissue-factor targeted nanoparticles containing Dox or PTX at 0, 0.2, or 2 mole% of the outer lipid layer. The nanoparticles were targeted for 30 min to tissue-factor positive vascular smooth muscle cells (VSMCs) and significantly inhibited the VSMCs proliferation in culture over the next 3 days. High-resolution T_1 -weighted MRI at 4.7T demonstrated the targeted nanoparticles adherent to the VSMCs. Although the application of these drug-nanoparticles is limited to *in vitro* assays, this integrated nanomedicine platform opens many exciting opportunities for the targeted delivery of therapeutic agents as well as the use of MRI as a non-invasive strategy to monitor the treatment efficacy to improve the therapeutic outcome of drug therapy.

Very recently, Yu *et al.* [136] synthesized Dox-loaded thermally cross-linked SPIOs (TCL-SPIONs) (Figure 6A). The resulting Dox@TCL-SPIONs had a mean hydrodynamic size of 21 ± 6 nm and a narrow size distribution (PDI = 0.13). Dox@TCL-SPIONs showed superparamagnetic behavior with a saturation magnetization (M_s) of approximately 70 emu/g. Furthermore, the Dox released faster under the mildly acidic environment as a consequence of weakened binding between Dox and the partially neutralized carboxyl groups in TCL-SPION, with approximately 60% of the drug released from Dox@TCL-SPIONs at pH 5.1 in 50 min. To examine whether Dox@TCL-SPIONs are still able to localize and accumulate in tumors by EPR effect, MR imaging was performed. Before injection of Dox@TCL-SPIONs, the tumor appeared as a hyper-intense area in T_2 -weighted MR images. At 4.5 h after Dox@TCL-SPIONs injection, noticeable darkening appeared in the tumor area with an RSE (relative signal enhancement) value of about 58%, indicating a large amount of Dox@TCL-SPIONs accumulated within the tumor (Figure 6B). To further examine the biodistribution of Dox in mice, Dox@TCL-SPIONs were injected into tumor-bearing mice. As we can see in Figure 6C – E, the fluorescence signal of Dox was clearly observed in the tumor at 1 hr after injection, which indicated fast accumulation and release of Dox; the fluorescence signal intensity increased over time and peaked at 12 hr post-injection. Besides, the fluorescence intensities in the liver were very weak in the case of Dox@TCL-SPIONs-injected mice relative to that of free Dox-injected mice, implying less liver toxicity with TCL-SPION DDS. More importantly,

Dox@TCL-SPIONs (12.5 mg Fe/kg and 0.64 mg Dox/kg) showed superior anti-tumor effect than 5 mg/kg free Dox (Figure 6F) without showing any toxicity to major organs. These results indicated that Dox@TCL-SPIONs can efficiently reach the tumor site and then release drugs. Consequently, it is reasonable to anticipate that TCL-SPION may be utilized to develop combined therapeutic and diagnostic modalities.

2.5 DDS with multimodality imaging capabilities

As discussed above, each imaging modality has its own pros and cons, and a single technique does not necessarily possess all the required capabilities for comprehensive imaging. Therefore, multimodality imaging is becoming more common as a primary clinical tool for imaging human diseases, especially cancers. Structures containing multiple imaging modalities combined by CT, MRI, PET, SPECT, or optical have merit for potentially false-free sensing, multiparametric description of a disease process such as its location, extent, metabolic activity, blood flow and function of target tissue, resulting in better characterization of disease processes. However, the development of imaging agents for multimodality imaging is more challenging than single modality agents, requiring more complex design, multistep synthesis and careful selection of nuclear and optical tracers to avoid physical–chemical interference between molecular components. The integration of multicomponents, for example targeting motif, therapeutic agents and multimodal imaging labels (MRI/optical, PET/MRI, SPECT/MRI, PET/MRI/optical) into a single carrier, would be advantageous as it would require a single injection and exact colocalization of pathology based on two or more different parameters. By providing the imaging agents on the same vector, differences in the distribution of the agents would be minimized if not eliminated.

2.6 PET/optical dual modal imaging

The *in vivo* near-infrared fluorescence (NIRF) imaging has been mostly qualitative or at most semiquantitative. The development of dual-function PET/NIRF probe can allow for accurate assessment of the pharmacokinetics and tumor targeting efficacy of the probes, and additionally to aid the direct observation of probe location microscopically [38]. To assemble radioactive metal chelating agents and optical dyes into a single trifunctional (tumor targeting, nuclear imaging and optical imaging) agent for conjugation to antibodies, peptides, or other potential delivery vectors, Xu *et al.* [137] synthesized a chelator CHX-A'. Near-infrared dye Cy5.5 and a radiometal ($^{86}\text{Y(III)}$ for PET, ^{111}In for SPECT) were introduced to the $\alpha\text{-NH}_2$ and $\alpha\text{-COOH}$ of L-lysine, respectively, while the $\varepsilon\text{-NH}_2$ was used to introduce a maleimide moiety, thereby permitting conjugation of the CHX-A' to antibody trastuzumab. The resulting trastuzumab- ^{111}In -Cy5.5 showed comparable immunoreactivity with native trastuzumab and may be used as a tumor-targeted monoclonal antibody probe for multimodality imaging. Although they did not test the antitumor effect of trastuzumab- ^{111}In -Cy5.5 *in vivo*, the

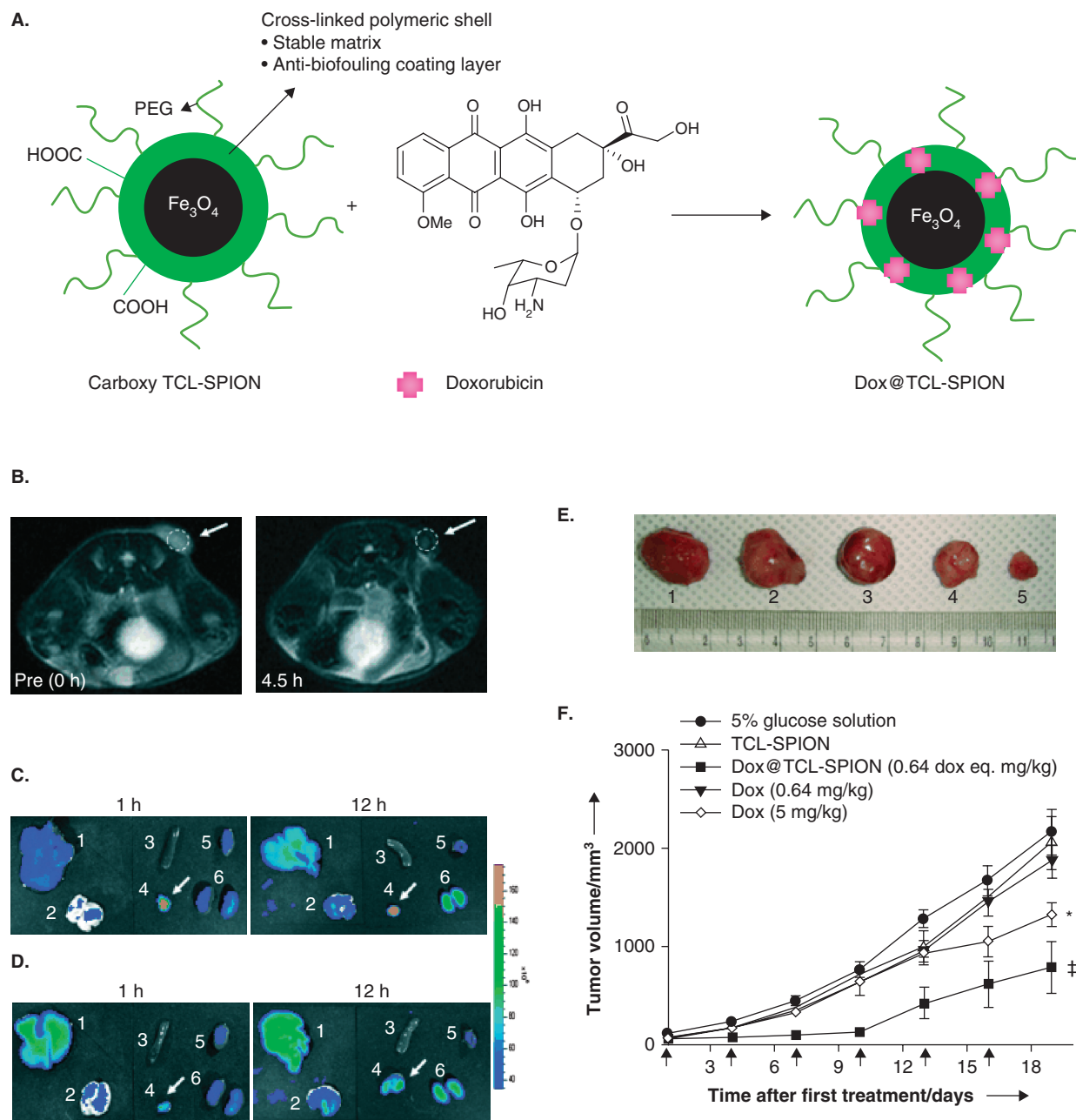


Figure 6. Magnetic resonance imaging (MRI) contrast agents coupled with targeted drug delivery potentials. **A.** Formation of Dox@TCL-SPIONs. **B.** T_2 -weighted fast-spin echo images (time of repetition/time of echo: 4200 ms/102 ms) taken at 0 h and 4.5 h after injection of Dox@TCL-SPION at the level of LLC tumor on the right back of the mouse. The dashed circle with the white arrow indicates the allograft tumor region. **C – D.** Optical fluorescence images of major organs and allograft tumors: 1 liver; 2 lung; 3 spleen; 4 tumor; 5 heart; 6 kidney. Images were taken after i.v. injection of Dox@TCL-SPION (equivalent to 4 μg of Dox) **C**, and free Dox (4 μg) **D**, into tumor-bearing mice; mice were killed after 1 h and 12 h. Antitumor efficacy of Dox@TCL-SPION was tested in LLC allograft animal model. **E.** Excised tumors from mice euthanized after the 19th day of treatment with: 1 control; 2 TCL-SPION (12.5 mg Fe kg^{-1}); 3 Dox (0.64 mg kg^{-1}); 4 Dox (5 mg kg^{-1}); 5 Dox@TCL-SPION (12.5 mg Fe kg^{-1} , 0.64 mg Dox kg^{-1}). **F.** Tumor volume inhibition results from each treatment group (* $p < 0.005$, † $p < 0.01$, $n = 5 - 7$).

Reproduced with permission from Yu MK, Jeong YY, Park J, et al. Drug-loaded superparamagnetic iron oxide nanoparticles for combined cancer imaging and therapy in vivo. *Angew Chem Int Ed Engl* 2008;47:5362-5 [136]. Copyright © 2009 Wiley-VCH Verlag GmbH & Co. KGaA.

trastuzumab itself is a therapeutic agent used in the clinical setting, and furthermore, ^{111}In can be replaced by other radioisotopes, such as ^{90}Y and ^{177}Lu for immunoradiotherapy, therefore this strategy is applicable for clinical translation. Recently, a few examples of PET/NIRF dual modalities probe have been carried forward for investigation and evaluation *in vivo*. QD-based nanoprobe for dual PET and NIRF imaging of tumor VEGFR expression [138] and integrin $\alpha\text{v}\beta 3$ expression [11], in which VEGF or RGD peptide is attached to amine-functionalized QD followed by DOTA conjugation for ^{64}Cu labeling. Both NIR fluorescence imaging and microPET demonstrated that ^{64}Cu -labeled DOTA-QD-VEGF and DOTA-QD-RGD primarily target the tumor vasculature through a specific ligand-receptor interaction.

2.7 MRI/optical dual modal imaging

Magnetic resonance imaging and optical techniques are highly complementary imaging methods. MRI/optical dual modal probes provide a macroscopic image with a $\sim 50\ \mu\text{m}$ spatial resolution by MR imaging, and *in vitro* fluorescent imaging can exhibit detailed microscopic information at the subcellular level. MRI contrast agent can be directly labeled with fluorescent dyes [139]. To prepare a dual modal imaging probe with therapeutic potential, a multifunctional nanoprobe [140] was synthesized, in which iron oxide nanoparticles were covalently coated with bifunctional poly(ethylene glycol) (PEG) polymers, and subsequently functionalized with chlorotoxin (Cltx), a glioma tumor-targeting molecule, and the near-infrared fluorescing (NIRF) molecule Cy5.5 (NPC-Cy5.5). NPC-Cy5.5 showed binding specificity to and increased internalization into glioma cells as demonstrated by MRI and confocal imaging. This multifunctional probe, although not tested *in vivo*, can be potentially applied for preoperative and postoperative diagnostic imaging with MRI and real-time intra-operative visualization of tumor margins. Saad *et al.* [141] equipped dendrimer with anticancer drug (paclitaxel), imaging agent (Cy5.5) and LHRP peptide targeted to receptors overexpressed on the cancer cell membrane as a tumor targeting moiety. Their results showed the specificity of the dendrimer nanoparticles for targeted cancer imaging with the prolonged clearance time and indicated the feasibility of incorporating both imaging probe and therapeutic agents in a single entity.

Several other strategies have been employed to develop robust and advanced MRI/optical probes. A core satellite nanoparticle probe comprised of a fluorescent dye-doped silica (DySiO_2) core nanoparticle and multiple surrounding magnetic nanoparticles [142], fluorescent magnetic nanoparticles [143-146], or macromolecular carriers [147-154] with both MR contrast agents and fluorescence probes. These imaging probes can be functionalized for targeted imaging [155,156], but only a very limited number of reports have shown the incorporation of therapeutic agents into imaging probes. Cetuximab (Erbix[®], Elli Lilly, Indianapolis, IN; Bristol-Myers Squibb, New York, NY) is a chimeric monoclonal antibody, an epidermal growth factor receptor (EGFR)

inhibitor, used in clinic for the treatment of metastatic colorectal cancer and head and neck cancer. Cetuximab was conjugated to spherical fluorescent magnetic nanohybrids (FMNHs) which consisted of MnFe_2O_4 magnetic nanocrystals encapsulated in pyrene-labeled poly(ϵ -caprolactone)-*b*-poly-(methacrylic acid) (PCL-*b*-PMAA). The resulting CET-FMNHs served as effective agents for both MR and fluorescence optical imaging of cancer cell lines [157], but its therapeutic efficacy was not tested. However, with the development of nanotechnology, the integrated capability of nanoscale MR and fluorescence imaging agents, along with their potential use as a drug delivery vehicle, these dual modality imaging agents may be coupled with therapeutics for future cancer diagnosis and therapy.

2.8 MRI/radionuclide imaging

MR contrast agents can be coupled with radionuclide labels for dual modal MRI/radionuclide imaging using a gamma camera, SPECT or PET. The combination of high resolution MRI and high sensitivity radionuclide imaging proved better spatial and anatomical information and also improved signal sensitivity [2]. To develop a bifunctional iron oxide nanoparticle probe for PET and MRI scans of tumor integrin $\alpha\text{v}\beta 3$ expression, Lee *et al.* [13] synthesized polyaspartic acid (PASP)-coated IO (PASP-IO) nanoparticles as a T_2 -weighted MRI contrast agent. Then the amine groups on PASP-IO were coupled with cyclic RGD peptides and DOTA chelators for PET after labeling with ^{64}Cu . DOTA-IO-RGD conjugates showed specific binding to integrin $\alpha\text{v}\beta 3$ -expressing cells *in vitro* and its r_2 and r_2^* were measured to be 105.5 and 165.5 $\text{s}^{-1}\text{mM}^{-1}$, respectively. Both small animal PET and T_2 -weighted MRI showed integrin-specific delivery of conjugated RGD-PASP-IO nanoparticles and prominent RES uptake. Choi *et al.* [158] also reported a magnetic nanoparticle-based PET/MRI probe. They first prepared MnMEIO with a composition of MnFe_2O_4 , with measured T_2 relaxivity coefficient (r_2) of 321.6 $\text{mM}^{-1}\text{s}^{-1}$. The MnMEIO nanoparticle surface was exchanged with serum albumin (SA) to ensure high colloidal stability in a wide pH range and at high salt concentration. These SA-MnMEIO nanoparticles possess excellent nanoprobe characteristics with a monodispersed core size of 15 nm and a hydrodynamic size of 32 nm, including the SA coating. Then ^{124}I , a PET radionuclide, was directly conjugated to the tyrosine residue in SA. The ^{124}I -labeled SA-MnMEIO was injected subcutaneously into the right front paw of Sprague-Dawley rats. Dynamic PET images were taken for 1 hr; immediately followed by MR imaging at 1.5 T. Both brachial and auxiliary lymph nodes were clearly identified and accurately localized in a PET/MR fusion images as a result of the highly complementary nature of PET and MRI techniques. In both cases, the radioisotopes used for imaging can be replaced by ^{90}Y [13] or ^{131}I [158] for MRI-guided radiotherapy. In addition, the administration of $\alpha\text{v}\beta 3$ targeted Gd-nanoparticles after SPECT/CT provided

Applications for site-directed molecular imaging agents coupled with drug delivery potential

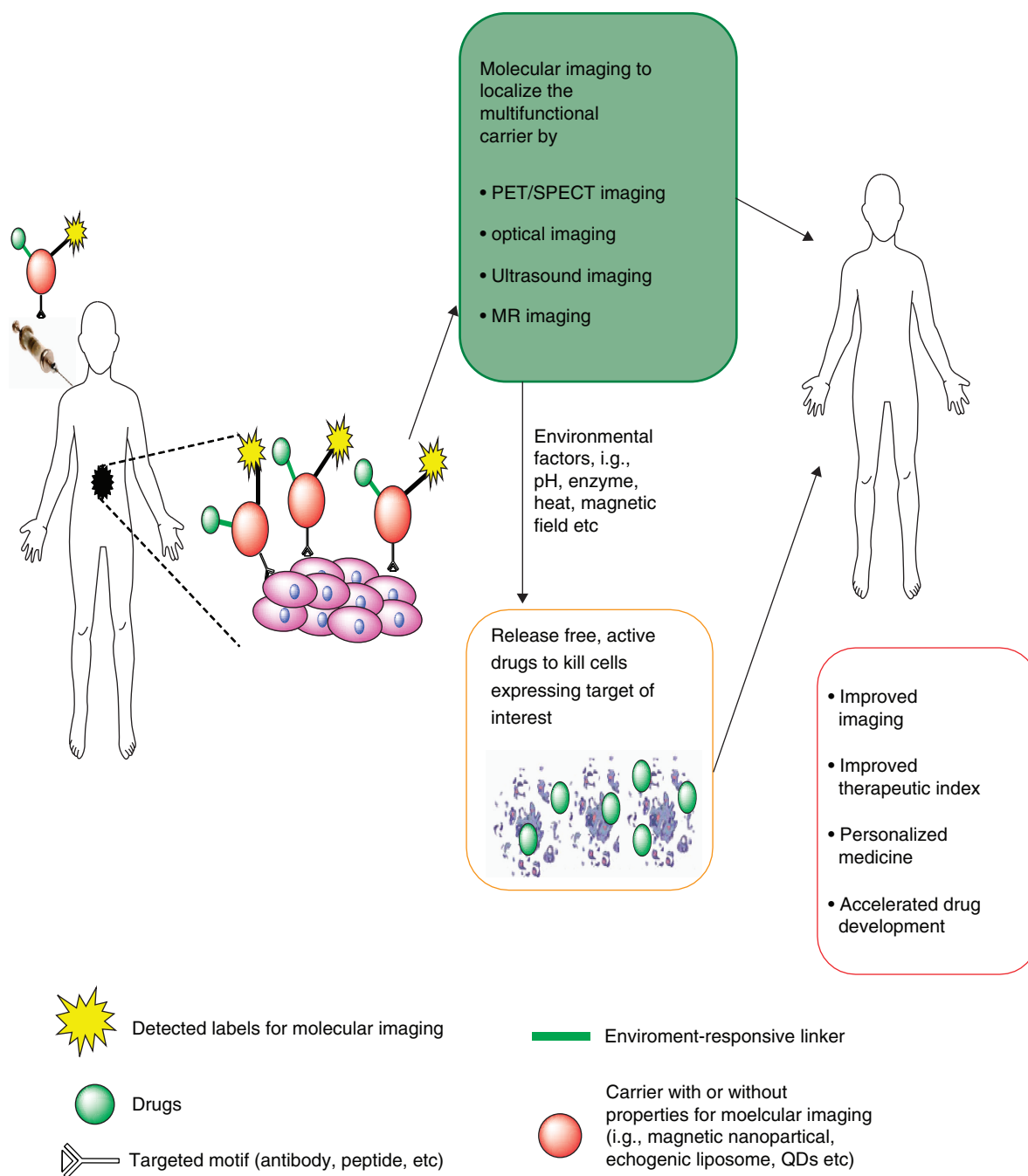


Figure 7. Development of an integrated system for simultaneous target imaging and drug delivery.

excellent MR detection of tumor angiogenesis and facilitated robust 3D characterization [2,3].

3. Conclusion

Thanks to various technological advancements and their integration, diverse types of targeted imaging probes coupled with drug delivery potential are now on the horizon (Figure 7).

There have been only a limited number of single systems integrated with imaging and therapy property reported. However, preliminary data demonstrate promising potential for developing targeted carriers for simultaneous target imaging and drug delivery. Such an ideal system provides several advantages in the following aspects: i) it will contain a high payload of contrast-generating material, which greatly improves their detectability; ii) multiple properties may be easily integrated within one system to allow

its detection with several imaging techniques and to include therapeutic qualities; and iii) the surface of such system may be modified to improve pharmacokinetic and pharmacodynamic properties by attaching targeting groups [159].

4. Expert opinion

Using a targeted theragnostic probe, we can see a specific molecular target in a living subject, following the distribution of a given drug in the same animal over time and quantification of the drug on the target, all in a non-invasive way. More desirably, triggerable drug delivery approaches can be incorporated together with targeted imaging probes within a single carrier. Such a highly versatile, multifunctional single carrier may provide attractive opportunities to combine the various imaging and therapeutic functions in quantities that will allow for accurate molecular imaging, targeted drug delivery and controlled release, as well as for strong therapeutic response. To fulfill this aim, strong collaborative efforts between imaging experts, pharmaceutical and biomedical scientists, and physicians are required.

The development of nanotechnology has a revolutionary impact on all areas of biomedicine, from research to diagnostics and therapeutics. Because of their size range, 10 – 100 nm,

nanoparticles are very suitable for manipulation at the molecular level, for example cell–receptor binding for site-selective imaging and targeting, localization of encapsulated therapeutics for drug delivery. There are more than 100 nanosized particles containing anticancer agents in various stages of preclinical and clinical development. Current research interests include developing nanoscale therapeutics which can realize personalized medicine, new nanoparticles which can image structures deep inside the tissue and performing the dual function of treatment and imaging by adjusting the optical properties of nanoparticles, etc. Although progress has been slow, the development of targeted nanoscale carriers in which therapeutic and imaging agents are merged into a single system will certainly be of importance in the near future. Image-guided drug delivery using these multifunctional nanocarriers, containing targeted motif, therapeutic and imaging agents, will ultimately allow for real time monitoring of drug location, local concentration, targeting levels and drug release kinetics prior and during radio- and/or chemotherapeutic treatment.

Declaration of interest

The authors state no conflict of interest and have received no payment in the preparation of this manuscript.

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Affiliation

Hui Wang PhD & Xiaoyuan Chen[†] PhD
[†]Author for correspondence
 Stanford University School of Medicine,
 The Molecular Imaging Program at Stanford (MIPS),
 Department of Radiology and Bio-X Program,
 1201 Welch Rd, P093,
 Stanford, CA 94305-5484, USA
 Tel: +1 650 725 0950; Fax: +1 650 736 7925;
 E-mail: shawchen@stanford.edu